

## FUND MANAGER BIO



### James P. Taylor, MBA, CTA

James P. Taylor, is the Founder, President and Chief Investment Officer of Tech Trading Corporation in Charlotte, North Carolina.

Mr. Taylor received a Bachelors of Science in Computer Science from Purdue University in 1985 and a Masters of Business Administration (MBA) from the University of Illinois at Chicago in 1989, concentrating in finance and economics.

From 1986 through 1993, Mr. Taylor worked as a software engineer. In 1991, Mr. Taylor founded Advanced Custom Software, Inc., (ACS) a software consulting company. From 1991 through 2001, while working at ACS, Mr. Taylor developed custom software applications for a variety of Fortune 500 companies. From 1997 through 2001, the firm specialized in development of software applications for the financial industry. Projects included: a bond trading system for Charles Schwab Corp., statement processing system for Charles Schwab, a commodity futures trading system, and a risk hedging system for Wells Fargo Capital Markets (commodity trading desk).

Mr. Taylor is a trained technical analyst, highly proficient in the development of automated trading systems & market-timing models (software programs) that are used for the automated trading of equities and commodities. Mr. Taylor is a licensed Commodity Trading Advisor (CTA), and Commodity Pool Operator (CPO), and is a Registered Investment Advisor. Mr. Taylor is a skilled and experienced trader, and has over 10 years of experience trading equities, futures, and options.

After more than a decade of following the US equity market defy all previously accepted valuation models, Mr. Taylor realized that investment selection should not be based solely on market fundamentals. Mr. Taylor formed Tech Trading Corporation, a company which combines a value investment strategy with technical analysis-based market timing systems.

## MANAGEMENT COMPANY DESCRIPTION



**Tech Trading Corporation** is a financial services firm located in Charlotte, North Carolina. The firm provides investment advisory and fund management services, as well as, financial and estate planning, and a subscription-based, market-timing service. Tech Trading's investing methodology is: always invest in the direction of the underlying economic fundamentals and use

technical analysis-based trading systems to time trade entry and exit points. The company is registered with the Commodity Futures Trading Commission (CFTC) as a Commodity Trading Advisor (CTA) and Commodity Pool Operator (CPO), and is a member of the National Futures Association (NFA). The firm is also a registered investment advisor.

Our Unique Combination of Strengths Includes:

Honest & informed investment advice, based on economic fundamentals, historical back-tested technical analysis-based market-timing, and in-depth research, not hype, hope, or herd following.

Free investment advice and investor tools. Each month we provide a newsletter which will discuss money saving and wealth building investment strategies, tax saving issues, and portfolio considerations.

Financial assistance to senior citizens and families facing financial hardship. Tech Trading Corporation will donate a portion of our net revenues to charities which directly support needy families. We formed the corporation to make a positive difference in peoples lives.

## MANAGEMENT COMPANY DESCRIPTION (continued)

### Objective & Approach

The objective of Tech Trading's asset management activities is to achieve consistent, above average compound annual returns, and consistent superior long-term capital appreciation without being dependent on or correlated with the direction of financial markets.

To accomplish this goal, we concentrate most of our time and effort on market selection, looking for markets experiencing the strongest runaway trends and entering them in the midst of small pullbacks or breakouts. We are looking to get into trades which move strongly in our favor—and perhaps even farther than we initially imagine. Trading is an odds game and concentrating on market selection is one of the things that will tilt the odds in our favor.

Once a market has been selected, and the technicals are in place, we look to invest in the direction of the underlying economic fundamentals, in order to trade with fuel on our side.

We seldom trade based on the current day's news, since once news comes out through any public venue, it is likely to already have been discounted by the markets. In fact, news often means the opposite of how it appears on the surface. However, we scrutinize how markets react to good and bad news.

We only add to our positions when the risk on our initial position is zero, and we never add to a losing position.

### Risk Management

Our methodology is build upon a foundation of risk control and money management techniques, which is much more beneficial than mechanical rules and trading systems. To use a horse racing analogy, too many traders focus on trying to find the right betting system rather than finding the right horse.

The Investment Advisors at Tech Trading strongly believe that return of principle is much more important than return on principle. Our number-one goal is to minimize losses. We always use Open Protective Stops (OPS) to limit losses and Trailing OPS's to lock in profits as trades moves in our favor. We let the market's own price action (areas of support and resistance ) determine where stops are placed.

Being risk averse does not imply that substantial and consistent total returns are not achievable. The firm will attempt to reduce risk by: diversification, limiting involvement in markets with low liquidity, using open and trailing stops, and managing position size.